FEB 1 3 2004 TRADEMARY

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents

P.O. B x 1450 Alexandria, Virginia 22313-1450 (703) 746-4000 or Fax INSTRUCTIONS: This form should be used for transmirting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This cartificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with thy corrections or use Block !) 01/20/2004 33717 7590 GREENBERG TRAURIG LLP Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below. 2450 COLORADO AVENUE, SUITE 400E SANTA MONICA, CA 90404 Kelly Simpson (Depositor's man (Sla -05 February 13(2004 CONFIRMATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. APPLICATION NO. 08/21/2001 Larry A. Coldren 510015-272 8573 09/934.791 TITLE OF INVENTION: HEAT SPREADING LAYERS FOR VERTICAL CAVITY SURFACE EMITTING LASERS **PUBLICATION FEE** TOTAL FEE(5) DUE DATE DUE APPLN, TYPE SMALL ENTITY ISSUE PEE 33330X \$665 04/20/2004 \$1330 50 lenoisivongnon (applicant claims small entity status) CLASS-SUBCLASS EXAMINER ART UNIT NGUYEN, PHILLIP 2828 372-096000 Change of correspondence address or indication of "Fee Address" (37 CFR 1363). 2. For printing on the patent front page, list (I) the Greenberg Traurig LLP names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. Charles Berman, Esq. firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorpeys or agents. If no name is listed, no name O "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignce is identified below, no assignce data will appear on the palent. Inclusion of assignce data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) THE REGENTS OF THE UNIVERSITY OF Oakland, California CALIFORNIA Please check the appropriate assignce category or categories (will not be printed on the patent); individual a corporation or other private group entity a government 4a. The following fee(s) are enclosed: 4b. Payment of Fee(s): □ A check in the amount of the fee(s) is enclosed. M Issue Fee Payment by credit card. Form PTO-2038 is attached. O Publication Fee © The Director is hereby authorized by charge the required fec(a), or credit any overpayment, to Deposit Account Number 50-2638 (enclose an extra copy of this form). ☐ Advance Order - # of Copies Director for Patents is preparated to apply the Issue Fee and Publication Fee (if any) or to re-apply any proviously paid issue fee to the application identified above. (Date) February 13, 2004 iked Sighanu Charles Berman, Esq. (Reg. # 29,249) NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant: a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. 02/17/2004 AWONDAF2 00000160 502638 09934791 This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USFTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 manues to complete, including gathering, preparing, and submitting the completed application form to the USFTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450. 01 FC:2501 665.00 DA Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collecting of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)

PTOL-85 (Rev. J 1/03) Approved for use through 04/30/2004.

OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE